

SOME GENERALIZATIONS OF POLYNOMIAL INEQUALITIES

A. Liman¹, W. M. Shah² and Shamim Ahmad Bhat³

¹Department of Mathematics, National Institute of Technology,
Kashmir, 190006, India
e-mail: abliman22@yahoo.co.in

²P.G.Department of Mathematics, Kashmir University,
190006, India
e-mail: wshah@rediffmail.com

³Vinayaka Mission Research Foundation, Deemed University,
Tamilnadu, 636308, India
e-mail: bhatshamim@gmail.com

Abstract. In this paper, we investigate the dependence of $\left\{ \int_0^{2\pi} |P(Re^{i\theta}) - \beta P(re^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}$ on $\left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}$ for each real or complex number β with $\beta \leq 1$, $R > r \geq 1$ and $q > 0$ and present compact generalizations of some well-known polynomial inequalities.

1. INTRODUCTION

Let P_n be the class of polynomials $P(z) = \sum_{j=0}^n a_j z^j$ of degree at most n .

Then

$$\left\{ \int_0^{2\pi} |P'(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq n \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}, \quad q \geq 1 \quad (1)$$

and

$$\left\{ \int_0^{2\pi} |P(Re^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq R^n \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}, \quad R \geq 1, \quad q > 0. \quad (2)$$

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Inequality (1) is due to Zygmund [17], whereas inequality (2) is a simple consequence of a result due to Hardy [7]. Arestove [2] verified that (1) remains true for $0 < q < 1$ as well. If we make $q \rightarrow \infty$ in inequalities (1) and (2) and note that

$$\lim_{q \rightarrow \infty} \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} = \max_{|z|=1} |P(z)|,$$

we get

$$\max_{|z|=1} |P'(z)| \leq n \max_{|z|=1} |P(z)| \quad (3)$$

and

$$\max_{|z|=R>1} |P(z)| \leq R^n \max_{|z|=1} |P(z)|. \quad (4)$$

Inequality (3) is an immediate consequence of a famous results due to Bernstein on the derivative of a trigonometric polynomial (for reference see [9, p.531], [10], [16]), whereas inequality (4) is a simple deduction from the maximum modulus principle (see [9, p. 346], [11] or [13, p. 158 problem 269]).

Inequalities (1) and (2) can be sharpened, if we restrict ourselves to the class of polynomials having no zeros in $|z| < 1$. In such a case, we have

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} |P'(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq n A_q \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}, \quad q \geq 1 \quad (5)$$

where

$$A_q = \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha \right\}^{\frac{-1}{q}},$$

and

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(Re^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq B_q \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}, \quad q \geq 1, \quad (6)$$

where

$$B_q = \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + R^n e^{i\alpha}|^q d\alpha \right\}^{\frac{1}{q}} / \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha \right\}^{\frac{1}{q}}.$$

Inequality (5) is due to deBruijn [6, Theorem 13], whereas inequality (6) was proved by Boas and Rahman [5]. Both these inequalities were latter extended by Rahman and Schmeisser [14] for $0 < q < 1$ as well.

Aziz and Rather [3] investigated the dependence of

$$\left\{ \int_0^{2\pi} |P(Re^{i\theta}) - \beta P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}$$

on $\left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}$ for $q \geq 0$ and proved the following compact generalization of (1) and (2).

Theorem A. If $P \in P_n$, then for every real or complex number β with $|\beta| \leq 1$, $R \geq 1$ and $q > 0$,

$$\left\{ \int_0^{2\pi} |P(Re^{i\theta}) - \beta P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq |R^n - \beta| \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}. \quad (7)$$

In this paper, we first prove the following more general result which also yields a compact generalization of inequalities (1) and (2).

Theorem 1. If $P \in P_n$, then for every real or complex number β with $|\beta| \leq 1$, $R \geq r \geq 1$ and $q > 0$,

$$\left\{ \int_0^{2\pi} |P(Re^{i\theta}) - \beta P(re^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq |R^n - \beta r^n| \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}. \quad (8)$$

The result is best possible and equality in (8) holds for $P(z) = \alpha z^n$, $\alpha \neq 0$.

Remark. For $r = 1$, Theorem 1 reduces to Theorem A, for $\beta = 0$, it reduces to inequality (2) and for $\beta = 1$, we get the following:

Corollary 1. If $P \in P_n$, then for $R \geq r \geq 1$ and $q > 0$,

$$\left\{ \int_0^{2\pi} |P(Re^{i\theta}) - P(re^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq |R^n - r^n| \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}. \quad (9)$$

For $r = 1$, Corollary 1 reduces to a result proved by Aziz and Rather [3, inequality (8)].

If we divide the two sides of (8) by $R - r$ and make $R \rightarrow r$, we get the following:

Corollary 2. If $P \in P_n$, then for $r \geq 1$ and $q > 0$,

$$\int_0^{2\pi} |P'(re^{i\theta})|^q d\theta \leq nr^{n-1} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta.$$

By taking $r = 1$ in Corollary 2, we get Zygmund's inequality for every $q > 0$.

If we let $q \rightarrow \infty$ in (8), we immediately get the following result which is a compact generalization of inequalities (3) and (4).

Corollary 3. If $P \in P_n$, then for every real or complex number β with $|\beta| \leq 1$ and $R \geq r \geq 1$, we have

$$\max_{|z|=1} |P(Rz) - \beta P(rz)| \leq |R^n - \beta r^n| \max_{|z|=1} |P(z)|. \quad (10)$$

The result is sharp and equality in (10) holds for $P(z) = \alpha z^n$, $\alpha \neq 0$.

For polynomials $P \in P_n$ having no zeros in $|z| < 1$, we next prove the following interesting result which among other things includes deBruijn's theorem (inequality (5)) and a result of Boas and Rahman (inequality (6)) as special cases.

Theorem 2. If $P \in P_n$ and $P(z)$ does not vanish in $|z| < 1$, then for every real or complex number β with $|\beta| \leq 1$, $R \geq r \geq 1$ and $q > 0$,

$$\begin{aligned} & \left\{ \int_0^{2\pi} |P(Re^{i\theta}) - \beta P(re^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \\ & \leq \frac{\left\{ \int_0^{2\pi} |(R^n - \beta r^n)e^{i\alpha} + (1 - \beta)|^q d\alpha \right\}^{\frac{1}{q}}}{\left\{ \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha \right\}^{\frac{1}{q}}} \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}. \end{aligned} \quad (11)$$

For $\beta = 0$, Theorem 2 reduces to a result due to Boas and Rahman (inequality (6)) for each $q > 1$. A variety of interesting results can be easily deduced from Theorem 2. For example the following Corollary which is an improvement of inequality (8) for polynomials $P \in P_n$ having no zeros in $|z| < 1$, immediately follows from Theorem 2 by taking $\beta = 1$.

Corollary 4. If $P \in P_n$ and $P(z)$ does not vanish in $|z| < 1$, then for $R \geq r \geq 1$ and $q > 0$, we have

$$\left\{ \int_0^{2\pi} |P(Re^{i\theta}) - P(re^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq \frac{R^n - r^n}{\left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha \right\}^{\frac{1}{q}}} \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}. \quad (12)$$

For $r = 1$, Theorem 2 reduces to a result earlier proved by Aziz and Rather [3, Theorem 4].

Again, by making $q \rightarrow \infty$ in (11), we immediately get the following:

Corollary 5. If $P \in P_n$ and $P(z)$ does not vanish in $|z| < 1$, then for every real or complex number β with $|\beta| \leq 1$ and $R \geq r \geq 1$,

$$\max_{|z|=1} |P(Rz) - \beta P(rz)| \leq \frac{|(R^n - \beta r^n) + (1 - \beta)|}{2} \max_{|z|=1} |P(z)|. \tag{13}$$

The result is sharp and equality in (13) holds for $P(z) = z^n + 1$.

Taking $\beta = 1$ and dividing the two sides of inequality (13) by $R - r$ and letting $R \rightarrow r$, we get

$$\max_{|z|=1} |P'(rz)| \leq \frac{nr^{n-1}}{2} \max_{|z|=1} |P(z)|, \quad r \geq 1. \tag{14}$$

For $r = 1$, inequality (14) was conjectured by Erdős and later verified by Lax [8]. Also, if we take $\beta = 0$ in (13), we get a result proved by Ankeny and Rivlin [1].

2. LEMMAS

For the proofs of these theorems, we need the following lemmas:

The first lemma is based on a result of Arestov, which we shall describe first.

For $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_n) \in C^{n+1}$ and $P(z) = \sum_{j=0}^n a_j z^j$, we define

$$\Lambda_\gamma P(z) = \sum_{j=0}^n \gamma_j a_j z^j.$$

The operator Λ_γ is said to be admissible if it preserves one of the following properties :

- (i) $P(z)$ has all its zeros in $\{z \in C : |z| \leq 1\}$,
- (ii) $P(z)$ has all its zeros in $\{z \in C : |z| \geq 1\}$.

The result of Arestov [2, Theorem 4] may now be stated as follows:

Lemma 1. For polynomials $P(z)$ of degree at most n and each admissible operator Λ_γ ,

$$\left\{ \int_0^{2\pi} |\Lambda_\gamma P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}} \leq C(\gamma, n) \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}, \quad 0 < q < \infty,$$

where $C(\gamma, n) = \max(|\gamma_0|, |\gamma_n|)$.

Lemma 2. If $P \in P_n$ and $P(z)$ has all zeros in $|z| \leq 1$, then for $R > r \geq 1$,

$$|P(Rz)| > |P(rz)| \text{ for } |z| \geq 1. \tag{15}$$

The above Lemma is a special case of a result due to Aziz and Zargar [4, Lemma 3].

Lemma 3. If $P \in P_n$ and $P(z)$ does not vanish in $|z| < 1$, then for every real or complex number β with $|\beta| \leq 1$,

$$|P(Rz) - \beta P(rz)| \leq |Q(Rz) - \beta Q(rz)|, \text{ for } |z| \geq 1 \text{ and } R \geq r \geq 1, \quad (16)$$

where $Q(z) = z^n \overline{P(1/\bar{z})}$.

Proof. For $R = r > 1$, the result follows by observing that $|P(z)| \leq |Q(z)|$ for $|z| \geq 1$. Henceforth, we assume that $R > r \geq 1$. Since the polynomial $P(z)$ has all its zeros in $|z| \geq 1$, therefore for every real or complex number α with $|\alpha| > 1$, the polynomial $F(z) = P(z) - \alpha Q(z)$ where $Q(z) = z^n \overline{P(1/\bar{z})}$ has all its zeros in $|z| \leq 1$. Applying Lemma 2 to the polynomial $F(z)$, we get

$$|F(rz)| < |F(Rz)| \text{ for } |z| = 1 \text{ and } R > r \geq 1.$$

Using Rouché's theorem and noting that all the zeros of $F(Rz)$ lie in $|z| \leq (1/R) < 1$, we conclude that for every real or complex number β with $|\beta| \leq 1$, the polynomial $G(z) = F(Rz) - \beta F(rz)$ has all its zeros in $|z| < 1$. Replacing $F(z)$ by $P(z) - \alpha Q(z)$, it follows that all the zeros of the polynomial

$$G(z) = (P(Rz) - \beta P(rz)) - \alpha(Q(Rz) - \beta Q(rz)) \quad (17)$$

lie in $|z| < 1$ for every α, β with $|\alpha| > 1$, $|\beta| \leq 1$ and $R > r \geq 1$. This implies

$$|P(Rz) - \beta P(rz)| \leq |Q(Rz) - \beta Q(rz)| \text{ for } |z| \geq 1 \text{ and } R > r \geq 1. \quad (18)$$

If inequality (18) is not true, then there is a point $z = z_0$ with $|z_0| \geq 1$ such that

$$|P(Rz_0) - \beta P(rz_0)| > |Q(Rz_0) - \beta Q(rz_0)|.$$

Since all the zeros of $Q(rz)$ lie in $|z| \leq 1$, it follows that all the zeros of $Q(Rz) - \beta Q(rz)$ lie in $|z| < 1$ for every real or complex number β with $|\beta| \leq 1$ and $R > 1$. Hence $Q(Rz_0) - \beta Q(rz_0) \neq 0$ with $|z_0| \geq 1$. We choose

$$\alpha = P(Rz_0) - \beta P(rz_0) / Q(Rz_0) - \beta Q(rz_0), \quad (19)$$

so that α is well-defined real or complex number with $|\alpha| > 1$ and with this choice of α , from (17) we get

$$G(z_0) = 0 \text{ with } |z_0| \geq 1.$$

This is clearly a contradiction to the fact that all the zeros of $G(z)$ lie in $|z| < 1$. Thus for every β with $|\beta| \leq 1$,

$$|P(Rz) - \beta P(rz)| \leq |Q(Rz) - \beta Q(rz)| \text{ for } |z| \geq 1 \text{ and } R > r \geq 1.$$

This proves Lemma 3. □

Next, we use Lemma 1 to prove the following result:

Lemma 4. If $P \in P_n$ and $P(z)$ does not vanish in $|z| < 1$, then for every real or complex number β with $|\beta| \leq 1$, $R \geq r \geq 1$, $q > 0$ and α real

$$\int_0^{2\pi} \left| \left(P(Re^{i\theta}) - \beta P(re^{i\theta}) \right) + e^{i\alpha} \left(R^n P(e^{i\theta}/R) - \bar{\beta} r^n P(e^{i\theta}/r) \right) \right|^q d\theta$$

$$\leq \left| (R^n - \bar{\beta} r^n) e^{i\alpha} + (1 - \beta) \right|^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta. \tag{20}$$

Proof. If $Q(z) = z^n \overline{P(1/\bar{z})}$, where $R \geq r \geq 1$, then we have by Lemma 3

$$|P(Rz) - \beta P(rz)| \leq |Q(Rz) - \beta Q(rz)|, \text{ for } |z| \geq 1$$

and

$$|P(Rz) - \beta P(rz)| = \left| R^n P(z/R) - \bar{\beta} r^n P(z/r) \right|, \text{ for } |z| = 1.$$

Now if $H(z) = Q(Rz) - \beta Q(rz)$, then $H(z)$ has all its zeros in $|z| < 1$ for every real or complex number β with $|\beta| \leq 1$ and $R \geq r \geq 1$. Therefore, it follows that the polynomial $z^n \overline{H(1/\bar{z})} = R^n P(z/R) - \bar{\beta} r^n P(z/r)$ has all its zeros in $|z| > 1$. Hence $G(z) = \frac{P(Rz) - \beta P(rz)}{R^n P(z/R) - \bar{\beta} r^n P(z/r)}$ is analytic in $|z| \leq 1$ and $|G(z)| \leq 1$ for $|z| = 1$. Since $G(z)$ is not constant, it follows by maximum modulus principle that $|G(z)| < 1$ for $|z| < 1$.

Equivalently,

$$|P(Rz) - \beta P(rz)| < \left| R^n P(z/R) - \bar{\beta} r^n P(z/r) \right|, \text{ for } |z| < 1. \tag{21}$$

By Rouché's theorem

$$\Lambda_\gamma P(z) = \left(P(Re^{i\theta}) - \beta P(re^{i\theta}) \right) + e^{i\alpha} \left(R^n P(z/R) - \bar{\beta} r^n P(z/r) \right)$$

$$= \left((R^n - \beta r^n) + e^{i\alpha} (1 - \bar{\beta}) \right) a_n z^n + \dots + \left((1 - \beta) + e^{i\alpha} (R^n - \bar{\beta} r^n) \right) a_0$$

does not vanish in $|z| < 1$, for every real or complex number β with $|\beta| \leq 1$, $R \geq r \geq 1$ and α real. Therefore Λ_γ is an admissible operator and hence by Lemma 1, we have for $q > 0$,

$$\left\{ \int_0^{2\pi} \left| \Lambda_\gamma P(e^{i\theta}) \right|^q d\theta \right\}^{\frac{1}{q}} \leq C(\gamma, n) \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{\frac{1}{q}}.$$

where $C(\gamma, n) = \max(|\gamma_0|, |\gamma_n|)$.

This implies

$$\begin{aligned} & \int_0^{2\pi} \left| \left(P(Re^{i\theta}) - \beta P(re^{i\theta}) \right) + e^{i\alpha} \left(R^n P(e^{i\theta}/R) - \bar{\beta} r^n P(e^{i\theta}/r) \right) \right|^q d\theta \\ & \leq \left| (R^n - \bar{\beta} r^n) e^{i\alpha} + (1 - \beta) \right|^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta, \end{aligned}$$

which is inequality (20) and this proves Lemma 4. □

Lemma 5. If $P \in P_n$ then for every real or complex number β with $|\beta| \leq 1$, $R \geq r \geq 1$, $q > 0$ and α real

$$\begin{aligned} & \int_0^{2\pi} \left| \left(P(Re^{i\theta}) - \beta P(re^{i\theta}) \right) + e^{i\alpha} \left(R^n P(e^{i\theta}/R) - \bar{\beta} r^n P(e^{i\theta}/r) \right) \right|^q d\theta \\ & \leq \left| (R^n - \bar{\beta} r^n) e^{i\alpha} + (1 - \beta) \right|^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta. \end{aligned} \tag{22}$$

Proof. The result is trivial for $R = r = 1$. Henceforth, we assume $R > r \geq 1$. Since $P(z)$ is a polynomial of degree at most n , we can write

$$P(z) = P_1(z)P_2(z) = \prod_{j=1}^k (z - z_j) \prod_{j=k+1}^n (z - z_j), \quad k \geq 0$$

where all the zeros of $P_1(z)$ lie in $|z| \geq 1$ and all the zeros of $P_2(z)$ lie in $|z| < 1$. First we suppose that $P_1(z)$ has no zero on $|z| = 1$ so that all the zeros of $P_1(z)$ lie in $|z| > 1$. Let $Q_2(z) = z^{n-k} \overline{P_2(1/\bar{z})}$. Then all the zeros of $Q_2(z)$ lie in $|z| > 1$ and $|Q_2(z)| = |P_2(z)|$ for $|z| = 1$. Now consider the polynomial

$$F(z) = P_1(z)Q_2(z) = \prod_{j=1}^k (z - z_j) \prod_{j=k+1}^n (1 - z\bar{z}_j).$$

Then all the zeros of $F(z)$ lie in $|z| > 1$ and for $|z| = 1$,

$$|F(z)| = |P_1(z)||Q_2(z)| = |P_1(z)||P_2(z)| = |P(z)|. \tag{23}$$

Since $P(z)/F(z)$ is not a constant by the maximum modulus principle

$$|P(z)| < |F(z)| \text{ for } |z| \leq 1.$$

Using Rouché's theorem, it follows that the polynomial $G(z) = P(z) + \lambda F(z)$ does not vanish in $|z| \leq t$, $t > 1$ and for every real or complex number λ with

$|\lambda| > 1$. Hence for every $t > 1$, all the zeros of $G(tz)$ lie in $|z| \geq 1$. Applying (21) to the polynomial $G(tz)$, we get

$$\left| G(Rtz) - \beta G(rtz) \right| < \left| R^n G(tz/R) - \bar{\beta} r^n G(tz/r) \right| \text{ for } |z| < 1.$$

Taking $z = e^{i\theta}/t$, $0 \leq \theta < 2\pi$, then $|z| < 1/t < 1$ and we get

$$\left| G(Re^{i\theta}) - \beta G(re^{i\theta}) \right| < \left| R^n G(e^{i\theta}/R) - \bar{\beta} r^n G(e^{i\theta}/r) \right|,$$

for each θ , $0 \leq \theta < 2\pi$, $R > r \geq 1$ and β with $|\beta| \leq 1$. This implies

$$\left| G(Rz) - \beta G(rz) \right| < \left| R^n G(z/R) - \bar{\beta} r^n G(z/r) \right|, \text{ for } |z| = 1.$$

Since $R^n G(z/R) - \bar{\beta} r^n G(z/r)$ does not vanish in $|z| \leq 1$, therefore an application of Rouché's theorem shows that the polynomial

$$T(z) = \left(G(Rz) - \beta G(rz) \right) + e^{i\alpha} \left(R^n G(z/R) - \bar{\beta} r^n G(z/r) \right)$$

does not vanish in $|z| \leq 1$, for every real or complex number β with $|\beta| \leq 1$, $R \geq r \geq 1$ and α real. Replacing $G(z)$ by $P(z) + \lambda F(z)$, it follows that the polynomial

$$\begin{aligned} T(z) &= \left(P(Rz) - \beta P(rz) \right) + e^{i\alpha} \left(R^n P(z/R) - \bar{\beta} r^n P(z/r) \right) \\ &+ \lambda \left[\left(F(Rz) - \beta F(rz) \right) + e^{i\alpha} \left(R^n F(z/R) - \bar{\beta} r^n F(z/r) \right) \right], \end{aligned}$$

does not vanish in $|z| \leq 1$, for every β, λ with $|\beta| \leq 1, |\lambda| > 1$. This implies by the similar argument as in the proof of Lemma 3 that

$$\begin{aligned} &\left| \left(P(Rz) - \beta P(rz) \right) + e^{i\alpha} \left(R^n P(z/R) - \bar{\beta} r^n P(z/r) \right) \right| \\ &\leq \left| \left(F(Rz) - \beta F(rz) \right) + e^{i\alpha} \left(R^n F(z/R) - \bar{\beta} r^n F(z/r) \right) \right|, \end{aligned} \tag{24}$$

for $|z| \leq 1$, which in particular gives for $R > r \geq 1, |\beta| \leq 1$ and $|z| = 1$

$$\begin{aligned} &\left| \left(P(Rz) - \beta P(rz) \right) + e^{i\alpha} \left(R^n P(z/R) - \bar{\beta} r^n P(z/r) \right) \right| \\ &\leq \left| \left(F(Rz) - \beta F(rz) \right) + e^{i\alpha} \left(R^n F(z/R) - \bar{\beta} r^n F(z/r) \right) \right|. \end{aligned}$$

Hence for each $q > 0$ and $0 \leq \theta < 2\pi$, we obtain

$$\begin{aligned} & \int_0^{2\pi} \left| \left(P(Rz) - \beta P(rz) \right) + e^{i\alpha} \left(R^n P(z/R) - \bar{\beta} r^n P(z/r) \right) \right|^q d\theta \\ & \leq \int_0^{2\pi} \left| \left(F(Rz) - \beta F(rz) \right) + e^{i\alpha} \left(R^n F(z/R) - \bar{\beta} r^n F(z/r) \right) \right|^q d\theta. \end{aligned}$$

Since $F(z)$ does not vanish in $|z| < 1$, therefore using Lemma 4 and (23), it follows that for every β with $|\beta| \leq 1$, $R \geq r \geq 1$, $q > 0$ and α real,

$$\begin{aligned} & \int_0^{2\pi} \left| \left(P(Rz) - \beta P(rz) \right) + e^{i\alpha} \left(R^n P(z/R) - \bar{\beta} r^n P(z/r) \right) \right|^q d\theta \\ & \leq \left| (R^n - \bar{\beta} r^n) e^{i\alpha} + (1 - \beta) \right|^q \int_0^{2\pi} |F(e^{i\theta})|^q d\theta \\ & = \left| (R^n - \bar{\beta} r^n) e^{i\alpha} + (1 - \beta) \right|^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta. \end{aligned} \tag{25}$$

Now if $P_1(z)$ has a zero on $|z| = 1$, then the polynomial $P^*(z) = P_1(uz)P_2(z)$ where $u < 1$, does not vanish in $|z| < 1$. Therefore, applying (25), we get for every β with $|\beta| \leq 1$, $R \geq r \geq 1$, $q > 0$ and α real,

$$\begin{aligned} & \int_0^{2\pi} \left| \left(P^*(Rz) - \beta P^*(rz) \right) + e^{i\alpha} \left(R^n P^*(z/R) - \bar{\beta} r^n P^*(z/r) \right) \right|^q d\theta \\ & \leq \left| (R^n - \bar{\beta} r^n) e^{i\alpha} + (1 - \beta) \right|^q \int_0^{2\pi} |P^*(e^{i\theta})|^q d\theta. \end{aligned} \tag{26}$$

Letting $u \rightarrow 1$ in (26) so that $P^* \rightarrow P$ and using continuity, the desired result follows immediately and this proves Lemma 5. □

3. PROOFS OF THE THEOREMS

Proof of Theorem 1. The result is trivial for $R = r = 1$. Henceforth, we assume $R > r \geq 1$. Since $P(z)$ is a polynomial of degree atmost n , we can write

$$P(z) = P_1(z)P_2(z) = \prod_{j=1}^k (z - z_j) \prod_{j=k+1}^n (z - z_j), \quad k \geq 0$$

where all the zeros of $P_1(z)$ lie in $|z| \leq 1$ and all the zeros of $P_2(z)$ lie in $|z| > 1$. First we suppose that $P_1(z)$ has no zero on $|z| = 1$ so that all the zeros of $P_1(z)$ lie in $|z| < 1$. Let $Q_2(z) = z^{n-k} \overline{P_2(1/\bar{z})}$. Then all the zeros of $Q_2(z)$ lie in $|z| < 1$ and $|Q_2(z)| = |P_2(z)|$ for $|z| = 1$. Now consider the polynomial

$$f(z) = P_1(z)Q_2(z) = \prod_{j=1}^k (z - z_j) \prod_{j=k+1}^n (1 - \bar{z}_j), \quad k \geq 0,$$

then all the zeros of $f(z) = \sum_{j=0}^n b_j z^j$ lie in $|z| < 1$ and for $|z| = 1$,

$$|f(z)| = |P_1(z)||Q_2(z)| = |P_1(z)||P_2(z)| = |P(z)|.$$

Since $P(z)/f(z)$ is not a constant by the maximum modulus principle

$$|P(z)| < |f(z)| \text{ for } |z| > 1.$$

Using Rouché's theorem, it follows that the polynomial $g(z) = P(z) + \lambda f(z)$ has all its zeros in $|z| < 1$ and for every real or complex number λ with $|\lambda| > 1$. Hence by Lemma 1, we have

$$|g(rz)| < |g(Rz)| \text{ for } |z| = 1 \text{ and } R > r \geq 1.$$

Since all the zeros of $g(Rz)$ lie in $|z| < (1/R) < 1$, we conclude that for every β with $|\beta| \leq 1$, all the zeros of $h(z) = g(Rz) - \beta g(rz) = (P(Rz) - \beta P(rz)) + \lambda(f(Rz) - \beta f(rz))$ lie in $|z| < 1$. This implies (as in the case of Lemma 5)

$$|P(Rz) - \beta P(rz)| \leq |f(Rz) - \beta f(rz)| \text{ for } |z| \geq 1 \text{ and } R > r \geq 1.$$

This in particular gives for each $R > r \geq 1$ and $q > 0$,

$$\int_0^{2\pi} \left| P(Re^{i\theta}) - \beta P(re^{i\theta}) \right|^q d\theta \leq \int_0^{2\pi} \left| f(Re^{i\theta}) - \beta f(re^{i\theta}) \right|^q d\theta. \quad (27)$$

Again, since all the zeros of $f(z)$ lie in $|z| < 1$, therefore as before $f(Rz) - \beta f(rz)$ has all its zeros in $|z| < 1$ for every real or complex number β with $|\beta| \leq 1$ and hence the operator Λ_γ defined by

$$\Lambda_\gamma f(z) = P(Rz) - \beta f(rz) = (R^n - \beta r^n) b_n z^n + \dots + (1 - \beta) b_0$$

is admissible. Thus by Lemma 1, for each $q > 0$, we have

$$\left\{ \int_0^{2\pi} \left| f(Re^{i\theta}) - \beta f(re^{i\theta}) \right|^q d\theta \right\}^{\frac{1}{q}} \leq \max \left(|R^n - \beta r^n|, |1 - \beta| \right) \left\{ \int_0^{2\pi} \left| f(e^{i\theta}) \right|^q d\theta \right\}^{\frac{1}{q}}. \quad (28)$$

Combining inequality (28) with (27) and noting that $|f(e^{i\theta})| = |P(e^{i\theta})|$, we get

$$\left\{ \int_0^{2\pi} \left| P(Re^{i\theta}) - \beta P(re^{i\theta}) \right|^q d\theta \right\}^{\frac{1}{q}} \leq |R^n - \beta r^n| \left\{ \int_0^{2\pi} \left| P(e^{i\theta}) \right|^q d\theta \right\}^{\frac{1}{q}}.$$

In case $P_1(z)$ has a zero on $|z| = 1$, the inequality (28) follows by using similar argument as in the case of Lemma 5. This completes the proof of Theorem 1.

Proof of Theorem 2. By hypothesis $P \in P_n$ and $P(z)$ does not vanish in $|z| < 1$, therefore by Lemma 3, for every real or complex number β with $|\beta| \leq 1$, and θ , $0 < \theta \leq 2\pi$,

$$\left| P(Re^{i\theta}) - \beta P(re^{i\theta}) \right| \leq \left| R^n P(e^{i\theta}/R) - \bar{\beta} r^n P(e^{i\theta}/r) \right|, \quad R \geq r \geq 1. \quad (29)$$

Also by Lemma 5

$$\int_0^{2\pi} \left| F(\theta) + e^{i\alpha} G(\theta) \right|^q d\theta \leq \left| (R^n - \bar{\beta} r^n) e^{i\alpha} + (1 - \beta) \right|^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \quad (30)$$

where

$$F(\theta) = P(Re^{i\theta}) - \beta P(re^{i\theta}) \text{ and } G(\theta) = R^n P(e^{i\theta}/R) - \bar{\beta} r^n P(e^{i\theta}/r).$$

Integrating both sides of (30) with respect to α from 0 to 2π , we get for each $q > 0$, $R \geq r \geq 1$ and α real,

$$\begin{aligned} & \int_0^{2\pi} \int_0^{2\pi} \left| F(\theta) + e^{i\alpha} G(\theta) \right|^q d\theta d\alpha \\ & \leq \left\{ \int_0^{2\pi} \left| (R^n - \bar{\beta} r^n) e^{i\alpha} + (1 - \beta) \right|^q d\alpha \right\} \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}. \end{aligned} \quad (31)$$

If $F(\theta) \neq 0$, then by (29), $\left| G(\theta)/F(\theta) \right| \geq 1$ and therefore, we have

$$\begin{aligned}
\int_0^{2\pi} \left| F(\theta) + e^{i\alpha} G(\theta) \right|^q d\alpha &\leq |F(\theta)|^q \int_0^{2\pi} \left| 1 + e^{i\alpha} \frac{G(\theta)}{F(\theta)} \right|^q d\alpha \\
&= |F(\theta)|^q \int_0^{2\pi} \left| \frac{G(\theta)}{F(\theta)} + e^{i\alpha} \right|^q d\alpha \\
&\leq |F(\theta)|^q \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha \\
&= \left| P(Re^{i\theta}) - \beta P(re^{i\theta}) \right|^q \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha.
\end{aligned}$$

For $F(\theta) = 0$, this inequality is trivially true. Using this in (31), we conclude that for every real or complex number β with $|\beta| \leq 1$, $R \geq 1$, $q > 0$ and α real,

$$\begin{aligned}
&\left\{ \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha \right\} \left\{ \int_0^{2\pi} |P(Re^{i\theta}) - \beta P(e^{i\theta})|^q d\theta \right\} \\
&\leq \left\{ \int_0^{2\pi} \left| (R^n - \bar{\beta}r^n)e^{i\alpha} + (1 - \beta) \right|^q d\alpha \right\} \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}. \quad (32)
\end{aligned}$$

And also, we have

$$\begin{aligned}
&\left\{ \int_0^{2\pi} \left| (R^n - \bar{\beta}r^n)e^{i\alpha} + (1 - \beta) \right|^q d\alpha \right\} \\
&= \left\{ \int_0^{2\pi} \left| (R^n - \bar{\beta}r^n)|e^{i\alpha} + |1 - \beta| \right|^q d\alpha \right\} \\
&= \left\{ \int_0^{2\pi} \left| (R^n - \beta r^n)|e^{i\alpha} + |1 - \beta| \right|^q d\alpha \right\} \quad (33) \\
&= \left\{ \int_0^{2\pi} \left| (R^n - \beta r^n)e^{i\alpha} + (1 - \beta) \right|^q d\alpha \right\}.
\end{aligned}$$

Combining (32) and (33), we get the desired result.

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