

INEQUALITIES FOR THE s th DERIVATIVE OF A POLYNOMIAL WITH PRESCRIBED ZEROS

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Abstract. Let $P(z)$ be a polynomial of degree n which does not vanish outside the closed disk $|z| < k$, where $k \leq 1$. According to a famous result known as Turans Theorem for $k=1$, we have

$$\max_{|z|=1} |P'(z)| \leq \frac{n}{2} \max_{|z|=1} |P(z)|$$

In this paper we shall present several interesting generalizations and a refinement of this result which include some results due to Malik, Govil and others. We extend Turans Theorem for the s th derivatives of a polynomial having t -fold zeros at origin and thereby obtain another generalization of this beautiful result.

1. INTRODUCTION

Let $P(z)$ be a polynomial of degree n , then according to a famous result known as Bernstein's inequality (for reference, see [6, p-531] or [7]),

$$\max_{|z|=1} |P'(z)| \leq n \max_{|z|=1} |P(z)| \quad (1.1)$$

The result is best possible and equality holds for the polynomial having all its zeros at origin. In the reverse direction it was proved by Turan [8] that if $P(z)$ does not vanish in $|z| > 1$, then

$$\max_{|z|=1} |P'(z)| \geq \frac{n}{2} \max_{|z|=1} |P(z)| \quad (1.2)$$

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Inequality (1) was refined by Aziz and Dawood by showing that under the same hypothesis that (1.2) can be replaced by

$$\max_{|z|=1} |P'(z)| \geq \frac{n}{2} \left\{ \max_{|z|=1} |P(z)| + \min_{|z|=1} |P(z)| \right\} \quad (1.3)$$

Both the inequalities (1.2) and (1.3) are sharp and equality holds for $P(z) = \alpha z^n + \beta$ where $|\alpha| = |\beta|$.

As a generalization of inequality (1.2) Malik [5] proved that if all the zeros of $P(z)$ lie in $|z| \leq k$, $k \leq 1$, then

$$\max_{|z|=1} |P'(z)| \geq \frac{n}{1+k} \max_{|z|=1} |P(z)| \quad (1.4)$$

Equality in (1.4) holds for the polynomial $P(z) = (z+k)^n$, $k \leq 1$,

In the literature there exists several extensions and generalizations of inequalities (1.3) and (1.4) (see [2],[4]). recently Aziz and Shah [3] have proved the following generalization of inequality (1.2).

Theorem 1.1. *If $P(z) = \sum_{j=0}^n a_j z^j$ is a polynomial of degree n having all its zeros in the disk $|z| \leq k \leq 1$ with s -fold zeros at origin, then for $|z| = 1$,*

$$\max_{|z|=1} |P'(z)| \geq \frac{n+ks}{1+k} \max_{|z|=1} |P(z)|. \quad (1.5)$$

The result is sharp and extremal polynomial $P(z) = z^s(z+k)^{n-s}$, $0 < s \leq n$.

In this paper we shall first present the following refinement and a generalization of Theorem 1.1.

Theorem 1.2. *If $P(z)$ is a polynomial of degree $n \geq 1$ having all its zeros in $|z| \leq k$, $k \leq 1$ with t -fold zeros at the origin then for $1 \leq s \leq t+1 \leq n$,*

$$\begin{aligned} \max_{|z|=1} |P^s(z)| &\geq \left(\frac{n+kt}{1+k} \right) \left(\frac{n+kt}{1+k} - 1 \right) \cdots \left(\frac{n+kt}{1+k} - (s-1) \right) \max_{|z|=1} |P(z)| \\ &\quad + \mathcal{L}^s \left(\frac{n-t}{1+k} \right) \frac{1}{k^t} \min_{|z|=k} |P(z)| \end{aligned} \quad (1.6)$$

where

$$\begin{aligned} \mathcal{L}^s &= 1 \text{ for } s = 1 \\ &= n(n-1) \cdots (n-s+2) \text{ for } s \geq 2. \end{aligned}$$

The result is best possible and equality holds for the polynomial $P(z) = (z+k)^n$, $k \leq 1$.

Remark. For $t=0$ and $s=1$, this reduces to the result due to Malik.

For $k=1$, we get the following result.

Corollary. If $P(z)$ is a polynomial of degree n having all its zeros in $|z| \leq 1$, with t -fold zeros at the origin then for $1 \leq s \leq t+1 \leq n$,

$$\begin{aligned} \max_{|z|=1} |P^s(z)| &\geq \left(\frac{n+t}{2}\right) \left(\frac{n+t}{2} - 1\right) \cdots \left(\frac{n+t}{2} - (s-1)\right) \max_{|z|=1} |P(z)| \\ &\quad + \mathcal{L}^s \left(\frac{n-t}{2}\right) \min_{|z|=1} |P(z)|, \end{aligned}$$

where

$$\begin{aligned} \mathcal{L}^s &= 1 \text{ for } s = 1 \\ &= n(n-1) \cdots (n-s+2) \text{ for } n \geq 2. \end{aligned}$$

Next we prove the following result which extends inequality(1.4) to the sth derivative.

Theorem 1.3. If $P(z)$ is a polynomial of degree $|z| \leq k$, $k \leq 1$, having all its zeros in $|z| \leq k$, $k \leq 1$, then

$$\max_{|z|=1} |P^s(z)| \geq \frac{n(n-1) \cdots (n-s+2)}{(1+k)^s} \max_{|z|=1} |P(z)|. \tag{1.7}$$

The result is best possible with equality in (1.7) for the polynomial $P(z) = (z+k)^n$.

2. LEMMAS

For the proofs of these theorems, we need the following Lemmas.

Lemma 2.1. *If $P(z)$ is a polynomial of degree n having all its zeros in $|z| \leq k$, then*

$$\min_{|z|=1} |P'(z)| \geq n \min_{|z|=1} |P(z)| \quad (2.1)$$

The result is best possible with equality for the polynomial $P(z) = me^{i\alpha}z^n$, $m > 0$.

Lemma 2.1 is due to Aziz and Dawood [1].

Lemma 2.2. *If $P(z)$ is a polynomial of degree n having all its zeros in $|z| \leq k$, $k \geq 1$, then*

$$\min_{|z|=k} |P^s(z)| \geq \frac{n(n-1)\cdots(n-s+1)}{k^s} \min_{|z|=k} |P(z)| \quad (2.2)$$

The result is best possible and equality in (2.2) holds for the polynomial $P(z) = (z+k)^n$.

Proof. Since $P(z)$ has all its zeros in $|z| \leq 1$. Let $F(z) = P(kz)$ then $F(z)$ has all its zeros in $|z| \leq 1$. Applying Lemma 2.1 to the polynomial $F(z)$, we get

$$\min_{|z|=1} |F'(z)| \geq n \min_{|z|=1} |F(z)|$$

Equivalently,

$$\min_{|z|=1} |P'(kz)| \geq \frac{n}{k} \min_{|z|=1} |P(kz)|$$

or

$$\min_{|z|=k} |P'(z)| \geq \frac{n}{k} \min_{|z|=k} |P(kz)| \quad (2.3)$$

$P'(z)$ is a polynomial of degree $n-1$, therefore by (2.3), we have

$$\min_{|z|=k} |P''(z)| \geq \frac{n(n-1)}{k^2} \min_{|z|=k} |P(z)|$$

Proceeding in a similar way it follows that

$$\min_{|z|=k} |P^s(z)| \geq \frac{n(n-1) \cdots (n-s+1)}{k^s} \min_{|z|=k} |P(z)|$$

This completes the proof of Lemma 2.2. □

Lemma 2.3. *If $P(z)$ is a polynomial of degree n having all its zeros in $|z| \leq k$, $k \geq 1$, with t -fold zeros at the origin, then*

$$\max_{|z|=1} |P'(z)| \geq \frac{n+kt}{1+k} \max_{|z|=1} |P(z)| + \frac{n-t}{(1+k)k^t} \min_{|z|=k} |P(z)| \tag{2.4}$$

The result is sharp and equality holds for the polynomial $P(z) = z^t(z+k)^{n-t}$, $0 < t \leq n$.

Proof. If $m = \min_{|z|=k} |P(z)|$, then $m \leq |P(z)|$ for $|z| = k$, which gives $m|\frac{z}{k}|^t \leq |P(z)|$ for $|z| = k$. since all the zeros of $P(z)$ lie in $|z| \leq k \leq 1$, with t -fold zeros at the origin, therefore for every complex number α such that $|\alpha| < 1$, it follows (by Rouches Theorem for $m > 0$) that the polynomial $G(z) = P(z) + \frac{\alpha m}{k^t} z^t$ has all its zeros in $|z| \leq k$, $k \leq 1$, with t -fold zeros at the origin. So that we can write

$$G(z) = z^t H(z) \tag{2.5}$$

Where $H(z)$ is a polynomial of degree $n-t$ having all its zeros in $|z| \leq k$, $k \leq 1$.

From (2.5), we get

$$\frac{zG'(z)}{G(z)} = t + \frac{zH'(z)}{H(z)} \tag{2.6}$$

If z_1, z_2, \dots, z_{n-t} are the zeros of $H(z)$, then $|z_j| \leq k \leq 1$ and from (2.6), we have

$$\begin{aligned} \operatorname{Re} \left\{ \frac{e^{i\theta} G'(e^{i\theta})}{G(e^{i\theta})} \right\} &= t + \operatorname{Re} \left\{ \frac{e^{i\theta} H'(e^{i\theta})}{H(e^{i\theta})} \right\} \\ &= t + \operatorname{Re} \sum_{j=1}^{n-t} \frac{e^{i\theta}}{e^{i\theta} - z_j} \end{aligned}$$

$$= t + \sum_{j=1}^{n-t} \operatorname{Re} \frac{1}{1 - z_j e^{-i\theta}} \quad (2.7)$$

for points $e^{i\theta}$, $0 \leq \theta < 2\pi$, which are not the zeros of $H(z)$.

Now if $|w| \leq k \leq 1$, then it can be easily verified that

$$\operatorname{Re} \left(\frac{1}{1-w} \right) \geq \frac{1}{1+k}$$

Using this fact in (2.7), we see that

$$\begin{aligned} \left| \frac{G'(e^{i\theta})}{G(e^{i\theta})} \right| &\geq \operatorname{Re} \left(\frac{e^{i\theta} G'(e^{i\theta})}{G(e^{i\theta})} \right) \\ &= t + \sum_{j=1}^{n-t} \operatorname{Re} \frac{1}{1 - z_j e^{-i\theta}} \\ &\geq t + \frac{n-t}{1+k}, \end{aligned}$$

which gives,

$$|G'(e^{i\theta})| \geq \frac{n+tk}{1+k} |G(e^{i\theta})| \quad (2.8)$$

for points $e^{i\theta}$, $0 \leq \theta < 2\pi$, which are not the zeros of $G(z)$. Since inequality (2.8) is trivially true for points $e^{i\theta}$, $0 \leq \theta < 2\pi$, which are the zeros of $P(z)$, it follows that

$$|G'(z)| \geq \frac{n+tk}{1+k} |G(z)| \text{ for } |z| = 1 \quad (2.9)$$

Replacing $G(z)$ by $P(z) + \frac{\alpha m}{k^t} z^t$ in (2.9), then we get

$$\left| P'(z) + \alpha t \frac{m}{k^t} z^{t-1} \right| \geq \frac{n+tk}{1+k} \left| P(z) + \frac{\alpha m}{k^t} z^t \right| \text{ for } |z| = 1 \quad (2.10)$$

and for every α with $|\alpha| < 1$. Choosing the argument of α such that

$$|P(z) + \frac{\alpha m}{k^t} z^t| = |P(z)| + |\alpha| \frac{m}{k^t} \text{ for } |z| = 1,$$

it follows from (2.10), that

$$|P'(z)| + \frac{t|\alpha|m}{k^t} \geq \frac{n + tk}{1 + k} \left\{ |P(z)| + \frac{|\alpha|m}{k^t} \right\} \text{ for } |z| = 1,$$

Letting $|\alpha| \rightarrow 1$, we obtain

$$\begin{aligned} |P'(z)| &\geq \frac{n + tk}{1 + k} |P(z)| + \left\{ \frac{n + tk}{1 + k} - t \right\} \frac{m}{k^t} \\ &= \frac{n + tk}{1 + k} |P(z)| + \frac{n - t}{1 + k} \left(\frac{m}{k^t} \right) \text{ for } |z| = 1. \end{aligned}$$

This implies,

$$\max_{|z|=1} |P'(z)| \geq \frac{n + kt}{1 + k} \max_{|z|=1} |P(z)| + \frac{n - t}{(1 + k)k^t} \min_{|z|=k} |P(z)|$$

Which is the desired result. \square

3. PROOF OF THE THEOREMS

Proof of Theorem 1.2. We prove this result with the help of mathematical induction. We use induction on s . For $s=1$, the result follows by Lemma 2.3. Assume that inequality (1.6) is true for $s=r$, that is we assume for $1 \leq r \leq t + 1$,

$$\begin{aligned} \max_{|z|=1} |P^r(z)| &\geq \left(\frac{n + kt}{1 + k} \right) \left(\frac{n + kt}{1 + k} - 1 \right) \cdots \left(\frac{n + kt}{1 + k} - (r - 1) \right) \max_{|z|=1} |P(z)| \\ &\quad + \mathcal{L}^r \frac{(n - t)}{(1 + k)k^t} \min_{|z|=k} |P(z)| \end{aligned} \tag{3.1}$$

Where

$$\mathcal{L}^r = 1 \text{ for } r = 1$$

$$= n(n-1) \cdots (n-r+2) \text{ for } r \geq 2.$$

We show (1.6) holds for $s=r+1$ also. Since $P(z)$ is a polynomial of degree n having all its zeros in $|z| \leq k$, $k \leq 1$, with t -fold zeros at the origin, therefore by Gauss-Lucas Theorem $P^r(z)$ which is a polynomial of degree $n-r$ has all its zeros in $|z| \leq k$, $k \leq 1$, with $t-r$ fold zeros at the origin. Applying Lemma 2.3 to the polynomial $P^r(z)$, we get,

$$\max_{|z|=1} |P^{r+1}(z)| \geq \frac{(n-r) + (t-r)k}{1+k} \max_{|z|=1} |P^r(z)| + \frac{(n-r) - (t-r)}{(1+k)k^{t-r}} \min_{|z|=k} |P^r(z)| \quad (3.2)$$

Using Lemma 2.2, we get

$$\begin{aligned} \max_{|z|=1} |P^{r+1}(z)| &\geq \left\{ \frac{n+tk}{1+k} - r \right\} \max_{|z|=1} |P^r(z)| \\ &+ \frac{(n-t)}{(1+k)k^t} n(n-1) \cdots (n-r+1) \min_{|z|=k} |P(z)| \end{aligned}$$

This implies with the help of Lemma 2.1 that,

$$\begin{aligned} &\max_{|z|=1} |P^{r+1}(z)| \\ &\geq \left(\frac{n+kt}{1+k} \right) \left(\frac{n+kt}{1+k} - 1 \right) \cdots \left(\frac{n+kt}{1+k} - (r-1) \right) \left(\frac{n+kt}{1+k} - (r+1-1) \right) \\ &\quad \max_{|z|=1} |P(z)| + \frac{(n-t)}{(1+k)k^t} n(n-1) \cdots (n-(r+1)+2) \min_{|z|=1} |P(z)|. \end{aligned} \quad (3.3)$$

(3.3) shows that the result is true for $s=r+1$ also. We conclude with the help of mathematical induction that (1.6) holds for all $1 \leq s < n$. This completes the proof of Theorem 1.2. \square

Proof of Theorem 1.3. Since $P(z)$ has all its zeros in $|z| \leq k$, $k \leq 1$, therefore by Gauss-Lucas Theorem $P^r(z)$ has all its zeros in $|z| \leq k$, $k \leq 1$, for $1 \leq s < n$. Applying inequality (1.4) to the polynomial $P^{s-1}(z)$ and proceeding similarly as in the above Theorem it follows that

$$\max_{|z|=1} |P^s(z)| \geq \frac{n(n-1) \cdots (n-s+1)}{(1+k)^s} \max_{|z|=1} |P(z)|.$$

This proves Theorem 1.3. \square

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