



## ON BANAS-HAJNOSZ-WEDRYCHOWICZ TYPE MODULUS OF CONVEXITY AND FIXED POINT PROPERTY

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**Abstract.** Let  $X$  be a Banach space with the unit sphere  $S_X = \{x \in X : \|x\| = 1\}$ . In this paper, inspired by Banaś *et. al.*, in [1], the new parameter  $SY_X(\varepsilon) = \sup\{\langle x - y, f_x \rangle : x, y \in S_X, \frac{\|x+y\|}{2} \geq 1 - \varepsilon \text{ for some } f_x \in \nabla_x\}$ , where  $\nabla_x \subseteq S_{X^*}$  is the norm 1 supporting functionals at  $x$ , is introduced. Several properties of this parameter are investigated. The main result are that if  $SY_X(t) < 2$ , for some  $t \in (0, 1]$  then  $X$  is uniformly non-square; and if  $SY_X(\varepsilon) < 1 + 2\varepsilon$  for some  $0 < \varepsilon < \frac{1}{2}$ , then both  $X$  and  $X^*$  have uniform normal structure. In particular, if  $\varepsilon_U = \lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) < 1$ , then  $X$  is uniformly non-square and both  $X$  and  $X^*$  have uniform normal structure. We have an example to show this condition is the best possible.

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## 1. INTRODUCTION

Let  $X$  be a Banach space with the unit sphere  $S_X = \{x \in X : \|x\| = 1\}$  and the closed unit ball  $B_X = \{x \in X : \|x\| \leq 1\}$ . For  $x \in S_X$ , let  $\nabla_x \subset S_{X^*}$  be the set of norm 1 supporting functionals of  $S_X$  at  $x$ , that is,  $f \in \nabla_x \iff \langle x, f \rangle = 1$ . For a nonempty subset  $C$  of  $X$ , the *diameter* of  $C$  is denoted by  $\text{diam } C$ , that is,  $\text{diam } C = \sup\{\|x - y\| : x, y \in C\}$ .

**Definition 1.1.** ([3]) Let  $X$  be a Banach space. A nonempty bounded and convex subset  $K$  of  $X$  is said to have *normal structure* if for every convex subset  $C$  of  $K$  that contains more than one point there is a point  $x_0 \in C$  such that

$$\sup\{\|x_0 - y\| : y \in C\} < \text{diam } C.$$

A Banach space  $X$  is said to have

- *normal structure* if every bounded convex subset of  $X$  has normal structure;
- *weak normal structure* if every weakly compact convex set  $K$  of  $X$  has normal structure;
- *uniform normal structure* if there exists  $0 < c < 1$  such that for every bounded closed convex subset  $C$  of  $K$  that contains more than one point there is a point  $x_0 \in C$  such that

$$\sup\{\|x_0 - y\| : y \in C\} < c \cdot \text{diam } C.$$

**Remark 1.2.** The following facts are known.

- uniform normal structure  $\implies$  normal structure  $\implies$  weak normal structure.
- In the setting of reflexive spaces, normal structure  $\iff$  weak normal structure.

Let  $C$  be a nonempty subset of a Banach space  $X$ . A mapping  $T : C \rightarrow C$  is called to be non-expansive whenever  $\|Tx - Ty\| \leq \|x - y\|$  for all  $x, y \in C$ . A Banach space has fixed point property if for every bounded closed and convex subset  $C$  of  $X$  and for each non-expansive mapping  $T : C \rightarrow C$ , there is a point  $x \in C$  such that  $x = Tx$  (see [14]). It was proved by Kirk [13] that if  $X$  has normal structure, then it has fixed point property. Since then many mathematician have investigated various sufficient conditions for normal structure.

In [4], Clarkson introduced the following modulus of convexity:  $\delta_X(\varepsilon) = \inf\{1 - \frac{1}{2}\|x + y\| : x, y \in S_X, \|x - y\| \geq \varepsilon\}$ , where  $0 \leq \varepsilon \leq 2$ . It was proved that if there exists  $\varepsilon > 0$  such that  $\delta_X(1 + \varepsilon) > \frac{\varepsilon}{2}$ , then  $X$  has uniform normal structure [8].

In [7], Gao introduced the modulus of  $U$ -convexity which is a generalization of  $\delta_X(\varepsilon)$ :  $U_X(\varepsilon) = \inf\{1 - \frac{1}{2}\|x + y\| : x, y \in S_X, \langle x - y, f_x \rangle \geq \varepsilon \text{ for some } f_x \in \nabla_x\}$ , where  $0 \leq \varepsilon \leq 2$ . This measures a certain geometric property of the unit sphere  $S_X$ . It was also proved that if there exists  $\delta > 0$  such that  $U_X(\frac{1}{2} - \delta) > 0$ , then  $X$  has uniform normal structure. Mazcuñán-Navarro [14] proved that a Banach space  $X$  has fixed point property if there exists  $\delta > 0$  such that  $U_X(1 - \delta) > 0$ . This was strengthened by Saejung [15]. In fact, it was proved that if a Banach space  $X$  is super-reflexive, then the moduli of  $U$ -convexity of the ultra-power  $X_{\mathcal{U}}$  of  $X$  and of  $X$  itself coincide. By using ultra-power method he showed that a Banach space  $X$  and its dual  $X^*$  have uniform normal structure whenever  $U_X(1) > 0$ .

On the other hand, Banas-Hajnosz-Wedrychowicz [1] introduced the following parameter  $\beta_X(\varepsilon) = \sup\{\|x - y\| : x, y \in S_X, \frac{1}{2}\|x + y\| \geq 1 - \varepsilon\}$ . The properties of  $\beta_X(\varepsilon)$  were obtained, and a relationship between this new modulus and  $\delta_X(\varepsilon)$  were studied.

In this paper, inspired by the modulus  $\beta_X(\varepsilon)$  of Banas et al. [1], the new parameter  $SY_X(\varepsilon)$ , an inverse function of  $U_X(\varepsilon)$  in a certain sense, is introduced and its properties are investigated. Some sufficient conditions of  $SY_X(\varepsilon)$  for uniform non-squareness and uniform normal structure are given in terms of this parameter. More precisely, if  $SY_X(t) < 2$ , for some  $t \in (0, 1]$  then  $X$  is uniformly non-square; if  $SY_X(\varepsilon) < 1 + 2\varepsilon$  for some  $0 < \varepsilon < \frac{1}{2}$ , then both  $X$  and  $X^*$  have uniform normal structure, and if  $SY_X(\varepsilon) < 2$  for some  $\frac{1}{2} \leq \varepsilon < 1$ , then  $X$  has normal structure. In particular, if  $\varepsilon_U = \lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) < 1$ , then  $X$  is uniformly non-square and both  $X$  and  $X^*$  have uniform normal structure. We have an example to show this condition is the best possible.

## 2. MAIN RESULTS

First let us recall the concept of the ultra-power technique. Let  $\mathcal{U}$  be a ultrafilter on the set  $\mathbb{N}$  of natural numbers, that is, it is a filter on  $\mathbb{N}$  which is maximal with respect to set inclusion. We also assume that it is *nontrivial*, that is, it is not of the form  $\{A \subset \mathbb{N} : i_0 \in A\}$  for some  $i_0 \in \mathbb{N}$ . From now on, we assume that  $\mathcal{U}$  is a nontrivial ultrafilter. A sequence  $\{x_n\}$  in a Banach space  $X$  converges to an element  $x \in X$  with respect to  $\mathcal{U}$  if  $\{n \in \mathbb{N} : x_n \in U\} \in \mathcal{U}$  for each neighborhood  $U$  of  $x$ . In this case, we write  $\lim_{\mathcal{U}} x_n = x$ . Let  $\ell^\infty(X)$  denote the set all bounded sequences  $\{x_n\}$  in  $X$ , that is,  $\sup\{\|x_n\| : n \in \mathbb{N}\} < \infty$  and let  $\mathcal{N}_{\mathcal{U}} := \{\{x_n\} \in \ell^\infty(X) : \lim_{\mathcal{U}} \|x_n\| = 0\}$ . The *ultra-power of  $X$  with respect to  $\mathcal{U}$*  is the quotient space  $\ell^\infty(X)/\mathcal{N}_{\mathcal{U}}$  equipped with the quotient norm  $|\cdot|_{\mathcal{U}}$ . We write  $\{x_n\}_{\mathcal{U}}$  to denote the elements of  $\ell^\infty(X)/\mathcal{N}_{\mathcal{U}}$  and it is

not hard to see that  $\{\|x_n\|\}_{n \in \mathcal{U}} = \lim_{\mathcal{U}} \|x_n\|$ . Note that, since  $\mathcal{U}$  is nontrivial,  $X$  can be embedded isometrically into  $X_{\mathcal{U}}$  (see [5], [17].)

**Definition 2.1.** Let  $X$  be a Banach space. The function  $SY_X : [0, 1] \rightarrow [0, 2]$  defined by

$$SY_X(\varepsilon) = \sup \left\{ \langle x - y, f_x \rangle : x, y \in S_X, \frac{\|x + y\|}{2} \geq 1 - \varepsilon, f_x \in \nabla_x \right\}$$

is called the *modulus of SY-converity* of  $X$ .

**Proposition 2.2.** Suppose that  $X$  is a Banach space and  $0 \leq \varepsilon \leq 1$ . Then

$$SY_X(\varepsilon) = \sup \left\{ \langle x - y, f_x \rangle : x \in S_X, y \in B_X, \frac{\|x + y\|}{2} \geq 1 - \varepsilon \text{ for some } f_x \in \nabla_x \right\}.$$

*Proof.* Let  $\widehat{SY}_X(t)$  denote the right hand of the expression of the proposition. Obviously,  $SY_X(t) \leq \widehat{SY}_X(t)$ . To see the reverse inequality, let  $\eta > 0$ . Then there exist elements  $x \in S_X$ ,  $y \in B_X$  and  $x^* \in \nabla_x$  such that

$$\frac{\|x + y\|}{2} \geq 1 - \varepsilon \quad \text{and} \quad \langle x - y, x^* \rangle > \widehat{SY}_X(t) - \eta.$$

Let  $y', y'' \in S_X$  be such that  $\langle y', x^* \rangle = \langle y'', x^* \rangle$  and  $y = (1 - \alpha)y' + \alpha y''$  for some  $\alpha \in [0, 1]$ . This implies that  $(1 - \alpha)\|x + y'\| + \alpha\|x + y''\| \geq 2(1 - \varepsilon)$ . So we may assume that  $\|x + y'\| \geq 2(1 - \varepsilon)$ . Note that  $\langle x - y', x^* \rangle = \langle x - y, x^* \rangle$ . This implies that

$$SY_X(\varepsilon) \geq \langle x - y', x^* \rangle > \widehat{SY}_X(\varepsilon) - \eta.$$

Since  $\eta > 0$  is arbitrary, we have  $SY_X(\varepsilon) \geq \widehat{SY}_X(\varepsilon)$ . This completes the proof.  $\square$

**Theorem 2.3.** The following are some basic properties of  $SY_X(\varepsilon)$  :

- $SY_X(\varepsilon) = \sup \left\{ \langle x - y, f_x \rangle : x, y \in S_X, \frac{\|x + y\|}{2} = 1 - \varepsilon, f_x \in \nabla_x \right\}$ .
- $SY_X(\varepsilon)$  is an increasing function of  $\varepsilon$ .
- For any Banach space  $X$  and  $0 \leq \varepsilon \leq 1$ ,  $SY_X(\varepsilon) \leq \beta_X(\varepsilon)$ .
- For any Banach space  $X$ ,  $SY_X(1) = 2$ .
- For a Hilbert space  $H$ ,

$$SY_H(\varepsilon) = \begin{cases} 1 - \sqrt{1 - 4\varepsilon(2 - \varepsilon)(1 - \varepsilon)^2} & \text{if } 0 \leq \varepsilon \leq 1 - \frac{1}{\sqrt{2}}, \\ 1 + \sqrt{1 - 4\varepsilon(2 - \varepsilon)(1 - \varepsilon)^2} & \text{if } 1 - \frac{1}{\sqrt{2}} \leq \varepsilon \leq 1. \end{cases}$$

**Lemma 2.4.** ([2], Bishop-Phelps-Bollobás) *Let  $X$  be a Banach space and  $\varepsilon \in (0, 1)$ . Then for each  $z \in B_X$  and  $h \in S_{X^*}$  with  $\langle z, h \rangle > 1 - \frac{\varepsilon^2}{4}$  there exist  $x \in S_X$  and  $x^* \in \nabla_x$  such that  $\|x - z\| < \varepsilon$  and  $\|x^* - h\| < \varepsilon$ .*

**Proposition 2.5.** *Suppose that  $X$  is a superreflexive space and  $\mathcal{U}$  is a non-trivial ultrafilter on  $\mathbb{N}$ . Then  $SY_{X_{\mathcal{U}}}(t) = SY_X(t)$  for all  $t \in [0, 1]$ .*

*Proof.* Since  $X$  can be embedded into  $X_{\mathcal{U}}$  isometrically, we may consider  $X$  as a subspace of  $X_{\mathcal{U}}$ . It then follows from the definition that  $SY_X(\varepsilon) \leq SY_{X_{\mathcal{U}}}(\varepsilon)$ .

We now prove the reverse inequality. For any small  $\eta > 0$ , from the definition of  $SY_{X_{\mathcal{U}}}(\varepsilon)$ , let  $\{x_n\}_{\mathcal{U}} \in S_{X_{\mathcal{U}}}$ ,  $\{y_n\}_{\mathcal{U}} \in S_{X_{\mathcal{U}}}$ , and let  $\{f_n\}_{\mathcal{U}} \in \nabla_{\{x_n\}_{\mathcal{U}}}$  be such that

$$\frac{1}{2}|\{x_n\}_{\mathcal{U}} + \{y_n\}_{\mathcal{U}}|_{\mathcal{U}} \geq 1 - \varepsilon$$

and

$$\langle \{x_n\}_{\mathcal{U}} - \{y_n\}_{\mathcal{U}}, \{f_n\}_{\mathcal{U}} \rangle \geq SY_{X_{\mathcal{U}}}(\varepsilon) - \eta.$$

Set

$$\begin{aligned} P &= \{n : 1 - \eta < \|x_n\| < 1 + \eta\}; \\ Q &= \{n : 1 - \eta < \|f_n\| < 1 + \eta\}; \\ W &= \{n : \langle x_n - y_n, f_n \rangle \geq SY_{X_{\mathcal{U}}}(\varepsilon) - \eta\}; \\ T &= \{n : \frac{1}{2}\|x_n + y_n\| \geq 1 - \varepsilon\}. \end{aligned}$$

Note that all the sets  $P, Q, W$  and  $T$  belong to  $\mathcal{U}$  and so does the intersection  $P \cap Q \cap W \cap T$ . In particular,  $P \cap Q \cap W \cap T \neq \emptyset$ .

Let  $n \in P \cap Q \cap W \cap T$  be fixed. This implies that

$$\begin{aligned} 1 - \eta &< \|x_n\| < 1 + \eta; \\ 1 - \eta &< \|f_n\| < 1 + \eta; \\ 1 - \eta &< \langle x_n, f_n \rangle < 1 + \eta; \\ \langle x_n - y_n, f_n \rangle &\geq SY_{X_{\mathcal{U}}}(\varepsilon) - \eta; \\ \frac{1}{2}\|x_n + y_n\| &\geq 1 - \varepsilon. \end{aligned}$$

If necessary, we normalize vectors  $x_n$  and  $f_n$  to use Lemma 2.4 because  $\eta$  can be arbitrarily small. Then there are  $z_n \in S_X$  and  $g_{z_n} \in S_{X^*}$  such that

- $g_{z_n} \in \nabla_{z_n}$ ;
- $\frac{1}{2}\|z_n - y_n\| \geq 1 - \varepsilon$ ;
- $\langle z_n - y_n, g_{z_n} \rangle > SY_{X_{\mathcal{U}}}(\varepsilon) - \eta$ .

This implies that  $SY_X(\varepsilon) \geq SY_{X_U}(\varepsilon) - \eta$ . Since  $\eta > 0$  can be arbitrarily small, we have

$$SY_{X_U}(\varepsilon) \leq SY_X(\varepsilon).$$

This completes that proof. □

**Definition 2.6.** ([6, 9, 10]) A Banach space  $X$  is said to be *uniformly non-square* if there exists a  $\delta > 0$  such that either  $\frac{1}{2}\|x+y\| \leq 1-\delta$  or  $\frac{1}{2}\|x-y\| \leq 1-\delta$  for any  $x, y \in S_X$ .

**Theorem 2.7.** *If  $SY_X(\varepsilon) < 2$  for some  $\varepsilon \in (0, 1]$  then  $X$  is uniformly non-square.*

*Proof.* Suppose that  $X$  is not uniformly non-square. Then there are sequences  $\{y_n\}$  and  $\{z_n\}$  in  $S_X$  such that  $\lim_n \|y_n + z_n\| = \lim_n \|y_n - z_n\| = 2$ . For each  $n \in \mathbb{N}$ , let  $h_n \in S_{X^*}$  be such that  $\langle z_n - y_n, h_n \rangle = \|z_n - y_n\|$ . Note that  $\lim_n \langle z_n, h_n \rangle = -\lim_n \langle y_n, h_n \rangle = 1$ . It follows from Lemma 2.4 that there are sequences  $\{x_n\}$  in  $S_X$  and  $\{x_n^*\}$  in  $S_{X^*}$  such that

- $\langle x_n, x_n^* \rangle = 1$  for all  $n \in \mathbb{N}$ ;
- $\lim_n \|x_n - z_n\| = \lim_n \|x_n^* - h_n\| = 0$ .

It follows that

$$\lim_n \|x_n + y_n\| \geq \lim_n (\|z_n + y_n\| - \|z_n - x_n\|) = \lim_n \|z_n + y_n\| = 2.$$

Moreover,  $\lim_n \langle y_n, x_n^* \rangle = \lim_n \langle y_n, x_n^* - h_n \rangle + \langle y_n, h_n \rangle = -1$ . The last equality follows since  $\lim_n |\langle y_n, x_n^* - h_n \rangle| \leq \lim_n \|y_n\| \|x_n^* - h_n\| = 0$ . Therefore, we have  $\lim_n \langle x_n - y_n, x_n^* \rangle = 2$ . Consequently,  $SY_X(\varepsilon) = 2$  for all  $\varepsilon \in (0, 1]$ . □

**Lemma 2.8.** ([16]) *If  $X$  is a superreflexive Banach space and fails to have normal structure, then there are elements  $\tilde{x}_1, \tilde{x}_2, \tilde{x}_3 \in S_{X_U}$  and  $\tilde{f}_1, \tilde{f}_2, \tilde{f}_3 \in S_{(X_U)^*}$  such that*

- $|\tilde{x}_i - \tilde{x}_j|_U = 1$  and  $\langle \tilde{x}_i, \tilde{f}_j \rangle = 0$  for all  $i \neq j$ ;
- $\langle \tilde{x}_i, \tilde{f}_i \rangle = 1$  for all  $i = 1, 2, 3$ .

**Theorem 2.9.** *If  $SY_X(\varepsilon) < 1 + 2\varepsilon$  for some  $\varepsilon \in (0, 1/2]$ , then  $X$  and  $X^*$  have uniform normal structure.*

*Proof.* We assume that  $SY_X(\varepsilon) < 1 + 2\varepsilon$  for some  $\varepsilon \in (0, 1/2]$ . This implies that  $X$  is uniformly non-square and hence super-reflexive. Suppose first that  $X$  does not have normal structure. So there are elements  $\tilde{x}_1, \tilde{x}_2, \tilde{x}_3 \in S_{X_U}$  and  $\tilde{f}_1, \tilde{f}_2, \tilde{f}_3 \in S_{(X_U)^*}$  satisfying all the conditions in Lemma 2.8. Set  $\mathbf{x} := \tilde{x}_1 - \tilde{x}_2$ ,  $\mathbf{y} := (1 - 2\varepsilon)\tilde{x}_1 + 2\varepsilon\tilde{x}_2$  and  $\mathbf{x}^* = -\tilde{f}_2$ . Note that

- $\langle \mathbf{x}, \mathbf{x}^* \rangle = 1$ ;
- $|\mathbf{x} + \mathbf{y}|_{\mathcal{U}} = |(2 - 2\varepsilon)\tilde{x}_1 - (1 - 2\varepsilon)\tilde{x}_2|_{\mathcal{U}} \geq \langle (2 - 2\varepsilon)\tilde{x}_1 - (1 - 2\varepsilon)\tilde{x}_2, \tilde{f}_1 \rangle = 2 - 2\varepsilon$ ;
- $\langle \mathbf{x} - \mathbf{y}, \mathbf{x}^* \rangle = 1 - \langle (1 - 2\varepsilon)\tilde{x}_1 + 2\varepsilon\tilde{x}_2, -\tilde{f}_2 \rangle = 1 + 2\varepsilon$ .

This implies that

$$SY_X(\varepsilon) = SY_{X_{\mathcal{U}}}(\varepsilon) \geq 1 + 2\varepsilon.$$

Consequently, if  $SY_X(\varepsilon) < 1 + 2\varepsilon$  for some  $\varepsilon \in (0, 1/2]$ , then  $X$  has normal structure.

Secondly, we show that if  $X$  does not have normal structure, then  $SY_{X^*}(\varepsilon) \geq 1 + 2\varepsilon$ . To see this, we set  $\mathbf{x}^* := \tilde{f}_1$ ,  $\mathbf{y}^* := 2\varepsilon\tilde{f}_2 - (1 - 2\varepsilon)\tilde{f}_3$  and  $\mathbf{x}^{**} := \tilde{x}_1 - \tilde{x}_2$ . Note that

- $\langle \mathbf{x}^*, \mathbf{x}^{**} \rangle = 1$ ;
- $|\mathbf{x}^* + \mathbf{y}^*|_{\mathcal{U}} = |\tilde{f}_1 + 2\varepsilon\tilde{f}_2 - (1 - 2\varepsilon)\tilde{f}_3|_{\mathcal{U}} \geq \langle \tilde{f}_1 + 2\varepsilon\tilde{f}_2 - (1 - 2\varepsilon)\tilde{f}_3, \tilde{x}_1 - \tilde{x}_3 \rangle = 2 - 2\varepsilon$ ;
- $\langle \mathbf{x}^* - \mathbf{y}^*, \mathbf{x}^{**} \rangle = \langle \tilde{x}_1 - \tilde{x}_2, \tilde{f}_1 - 2\varepsilon\tilde{f}_2 + (1 - 2\varepsilon)\tilde{f}_3 \rangle = 1 + 2\varepsilon$ .

This implies that

$$SY_{X^*}(t) = SY_{(X^*)_{\mathcal{U}}}(t) = SY_{(X_{\mathcal{U}})^*}(t) \geq 1 + 2\varepsilon.$$

In this second part, we can conclude that if  $SY_X(\varepsilon) < 1 + 2\varepsilon$  for some  $\varepsilon \in (0, 1/2]$ , then  $X^*$  has normal structure.

Finally, to conclude the uniform normal structure of  $X$  and  $X^*$ , we just invoke the fact that uniform normal structure and super-normal structure are the same whenever the space is super-reflexive [12]. □

We now discuss the situation  $\varepsilon \in [1/2, 1)$ . Here we give a partial result. We do not know that if the following result can conclude the uniform normal structure of the dual space.

**Theorem 2.10.** *If  $SY_X(\varepsilon) < 2$  for some  $\varepsilon \in [1/2, 1)$ , then  $X$  has uniform normal structure.*

*Proof.* We assume that  $SY_X(\varepsilon) < 2$  for some  $\varepsilon \in [1/2, 1)$ . We then follow the first part of the proof of the preceding theorem but we set  $\mathbf{y} := \tilde{x}_2 - (2\varepsilon - 1)\tilde{x}_1$ . Note that

- $|\mathbf{y}|_{\mathcal{U}} = |(2\varepsilon - 1)(\tilde{x}_2 - \tilde{x}_1) - (2 - 2\varepsilon)\tilde{x}_2|_{\mathcal{U}} \leq (2\varepsilon - 1)|\tilde{x}_2 - \tilde{x}_1|_{\mathcal{U}} + (2 - 2\varepsilon)|\tilde{x}_2|_{\mathcal{U}} = 1$ ;
- $|\mathbf{x} + \mathbf{y}|_{\mathcal{U}} = 2(1 - \varepsilon)$ ;
- $\langle \mathbf{x} - \mathbf{y}, \mathbf{x}^* \rangle = 2$ .

This implies that

$$SY_X(\varepsilon) = SY_{X_{\mathcal{U}}}(\varepsilon) = 2.$$

□

We now present a sufficient condition of  $\beta_X(t)$  for uniform normal structure.

**Corollary 2.11.** *If  $\beta_X(t) < 1 + 2t$  for some  $t \in (0, 1/2]$ , then  $X$  is uniformly non-square and both  $X$  and  $X^*$  have uniform normal structure.*

**Corollary 2.12.** *If  $\lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) < 1$ , then  $X$  is uniformly non-square and both  $X$  and  $X^*$  have uniform normal structure.*

*Proof.* This is a direct result of Theorem 2.7 and Theorem 2.9.  $\square$

For a Banach space  $X$ , we define  $\varepsilon_U = \sup\{\varepsilon \geq 0 : U_X(\varepsilon) = 0\}$  to be the characteristic of  $U$ -convexity of a space  $X$ .

**Proposition 2.13.**  $\lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) = \varepsilon_U$ .

*Proof.* The idea of the proof is similar to the proof of Theorem 3.1 in [1]. If  $\varepsilon_U = 0$ , we have  $\lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) \geq \varepsilon_U$ . Otherwise, fix  $t < \varepsilon_U$ . From definition of  $U_X(\varepsilon)$  and  $\varepsilon_U$ , for an arbitrary  $\varepsilon > 0$  there exist  $x, y \in S(X)$  and  $f_x \in \nabla_x$  such that  $\langle x - y, f_x \rangle = t$ , and  $1 - \frac{\|x+y\|}{2} \leq \varepsilon$ .

From definition of  $SY_X(\varepsilon)$ , this implies that  $SY_X(\varepsilon) \geq t$ , therefore

$$\lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) \geq t.$$

Since  $t$  can be arbitrarily close to  $\varepsilon_U$ , we have that  $\lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) \geq \varepsilon_U$ . We prove the inverse direction. Let  $t > 0$  be arbitrarily small. Since  $SY_X(\varepsilon)$  be a nondecreasing function, from definition of  $SY_X(\varepsilon)$  there exist  $x, y \in S(X)$ ,  $f_x \in \nabla_x$  such that  $1 - \frac{\|x+y\|}{2} = t$ , and  $\langle x - y, f_x \rangle = SY_X(t) \geq \lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon)$ .

From definition of  $U_X(\varepsilon)$ , this implies  $U_X(\lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon)) \leq t$ . Since  $t$  can be arbitrarily small,  $U_X(\lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon)) = 0$ . Therefore from definition of  $\varepsilon_U$ , we have  $\lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) \leq \varepsilon_U$ .  $\square$

We borrow the following example from [15] to show that the condition of Corollary 2.12 is the best possible.

**Example 2.14.** For  $p \in (1, \infty)$ , we denote by  $l_{p,\infty}$  the  $l_p$  space with the norm

$$\|x\| = \max\{\|x^+\|_p, \|x^-\|_p\},$$

where  $x^+$  and  $x^-$  are the positive and negative part of  $x$  respectively. It is known that  $l_{p,\infty}$  is a superreflexive space and fails to have normal structure [11]. Moreover,  $U_{l_{p,\infty}}(1) = 0$  and  $U_{l_{p,\infty}}(\varepsilon) > 0$  for all  $\varepsilon > 1$  [15]. It follows from Proposition 2.13 that  $\varepsilon_U = \lim_{\varepsilon \rightarrow 0} SY_X(\varepsilon) = 1$ .

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